

REPUBLIC OF SOUTH AFRICA

PROTECTION OF STATE INFORMATION BILL

*(As presented by Ad Hoc Committee on Protection of Information Bill (National Assembly))
(introduced as Protection of Information Bill [B 6—2010])
(The English text is the official text of the Bill))*

(MINISTER OF STATE SECURITY)

[B 6D—2010]

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BILL

To provide for the protection of sensitive state information; to provide for a system of classification, reclassification and declassification of state information; to provide for the protection of certain valuable state information against alteration, destruction or loss or unlawful disclosure; to regulate the manner in which state information may be protected; to repeal the Protection of Information Act, 1982 (Act No. 84 of 1982); and to provide for matters connected therewith.

PREAMBLE

RECOGNISING that national security is subject to the authority of Parliament and the national executive, as contemplated in section 198 of the Constitution;

MINDFUL of the right of access to any information held by the State provided for in section 32 of the Constitution;

ACCEPTING that the right of access to information is a cornerstone of our democracy”

ACKNOWLEDGING in accordance with section 36 of the Constitution that the right of access to any information held by the State may be restricted when necessary for reasons of national security;

RECOGNISING the harm caused by excessive secrecy;

DESIRING to put the protection of state information within a transparent and sustainable legislative framework; and

AIMING to promote the free flow of information within an open and democratic society without compromising the national security of the Republic,

BE IT THEREFORE ENACTED by the Parliament of the Republic of South Africa, as follows:—

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CHAPTER 1

DEFINITIONS, OBJECTS AND APPLICATION OF ACT

Definitions and interpretation

1. (1) In this Act, unless the context indicates otherwise—
- “**Agency**” means the State Security Agency contemplated in Schedule 1 to the Public Service Act, 1994 (Proclamation No. 103 of 1994), and includes the National Intelligence Agency, South African Secret Service, Electronic Communications Security (Pty)Ltd (COMSEC), and the South African National Academy for Intelligence; 5
- “**archive**” means the National Archive or any archive established in terms of a provincial law and includes an archive kept by an organ of state; 10
- “**classification authority**” means the entity or person authorised to classify state information and includes—
- (a) a head of an organ of state; or
- (b) any official to whom the authority to classify state information has been delegated in writing by a head of an organ of state; 15
- “**classification of state information**” means a process used to determine—
- (a) the manner in which such state information may be classified in terms of sections 11 and 8; and
- (b) the level of protection assigned to such state information; 20
- “**classified information**” means state information that has been classified in terms of this Act;
- “**Classification Review Panel**” means the Panel established under section 18;
- “**confidential information**” has the meaning assigned to it in section 11(1);
- “**Constitution**” means the Constitution of the Republic of South Africa, 1996; 25
- “**declassification authority**” means the entity or person authorised under section 14 to declassify classified information;
- “**declassification of state information**” means the authorised change in the status of state information from classified information to unclassified information;
- “**department**” means a department as defined in section 1 of the Public Service Act, 1994 (Proclamation No. 103 of 1994); 30
- “**downgrading of state information**” means a change of classification of state information from its existing level to a lower level;
- “**espionage**” means the offences referred to in section 34;
- “**foreign state**” means any state other than the Republic of South Africa; 35
- “**head of an organ of state**” means—
- (a) in the case of a department, the officer who is the incumbent of the post bearing the designation mentioned in Column 2 of Schedule 1, 2 or 3 to the Public Service Act, 1994 (Proclamation No. 103 of 1994), or section 1 of the Intelligence Services Oversight Act, 1994 (Act No. 40 of 1994), or the person who is acting as such; 40
- (b) in the case of a municipality, the municipal manager appointed in terms of section 82 of the Local Government: Municipal Structures Act, 1998 (Act No. 117 of 1998), or the person who is acting as such;
- (c) in the case of any other state institution, the chief executive officer or equivalent officer, of that public body or the person who is acting as such; or 45
- (d) in the case of a national key point declared as such in terms of the National Key Points Act, 1980 (Act No. 102 of 1980), the owner of the national key point;
- “**hostile activity**” means— 50
- (a) aggression against the Republic;
- (b) sabotage or terrorism aimed at the people of the Republic or a strategic asset of the Republic, whether inside or outside the Republic;
- (c) an activity aimed at changing the constitutional order of the Republic by the use of force or violence; or 55
- (d) a foreign or hostile intelligence operation;
- “**information**” means any information contained in any document whether written, copied, drawn, painted, printed, filmed, photographed, magnetic, optical, digital, electronic or any other type of recording, measure, procedure, object or verbal announcement; 60

- “information and communication technology security”** means the application of security measures to protect the design, development, implementation, support, management and use of—
- (a) computer-based information systems, including software applications, computer hardware and data; and
 - (b) electronic and mobile communication systems and the transmission of data;
- “information peddling”** means the conduct referred to in section 43;
- “information security”** means the safeguarding or protection of state information in whatever form;
- “intelligence”** means the process of gathering, evaluation, correlation and interpretation of security information, including activities related thereto;
- “Minister”** means the member of the Cabinet designated by the President in terms of section 209(2) of the Constitution to assume political responsibility for the control and direction of the intelligence services established in terms of section 209(1) of the Constitution;
- “MISS Guidelines”** means the Minimum Information Security Standards document as approved by Cabinet on 4 December 1996;
- “National Archives”** means the National Archives and Records Service of South Africa established by section 2 of the National Archives and Records Service of South Africa Act, 1996 (Act No. 43 of 1996);
- “national intelligence structures”** means—
- (a) the National Intelligence Coordinating Committee (Nicoc);
 - (b) the intelligence division of the National Defence Force;
 - (c) the intelligence division of the South African Police Service; and
 - (d) the Agency;
- “national security”** includes the protection of the people of the Republic and the territorial integrity of the Republic against—
- (a) the threat of use of force or the use of force;
 - (b) the following acts:
 - (i) Hostile acts of foreign intervention directed at undermining the constitutional order of the Republic;
 - (ii) terrorism or terrorist related activities;
 - (iii) espionage;
 - (iv) exposure of a state security matter with the intention of undermining the constitutional order of the Republic;
 - (v) exposure of economic, scientific or technological secrets vital to the Republic;
 - (vi) sabotage; and
 - (vii) serious violence directed at overthrowing the constitutional order of the Republic;
 - (c) acts directed at undermining the capacity of the Republic to respond to the use of, or the threat of the use of, force and carrying out of the Republic’s responsibilities to any foreign country and international organisations in relation to any of the matters referred to in this definition, whether directed from, or committed within, the Republic or not,
- but does not include lawful political activity, advocacy, protest or dissent;
- “non state actor”** means any person or entity other than a state engaged in a hostile activity;
- “organ of state”** means—
- (a) any organ of state as defined in section 239 of the Constitution, including, but not limited to, any public entity as defined in section 1 of the Public Finance Management Act, 1999 (Act No. 1 of 1999), and section 3 of the Municipal Finance Management Act, 2003 (Act No. 56 of 2003); or
 - (b) any facility or installation declared as a National Key Point in terms of the National Key Points Act, 1980 (Act No. 102 of 1980);
- “original classification authority”** means the classification authority that authorised the original classification;
- “personal information”** means any information concerning an identifiable natural person which, if disclosed, could reasonably be expected to endanger the life or physical safety of an individual;
- “prescribed”** means prescribed by regulation made in terms of section 51;
- “Promotion of Access to Information Act”** means the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000);

“public record” means a record created or received by a governmental body in pursuance of its activities;

“record” means recorded state information regardless of form or medium;

“regulations” means the regulations issued by the Minister in terms of this Act;

“relevant Minister” means any Cabinet member whose portfolio is affected by this Act; 5

“request for access” means a request for access contemplated in section 1 of the Promotion of Access to Information Act;

“secret information” has the meaning assigned to it in section 11(2);

“security clearance” means a certificate issued to a person after the successful completion of a security screening investigation, specifying the level of classified information to which the person may have access; 10

“security committee” means the committee, comprising representatives from all the main functions or structures of an institution, charged with overseeing the development, implementation and maintenance of the institution’s security policy; 15

“sensitive information” means state information which must be protected from unlawful disclosure in order to prevent the national security of the Republic from being harmed;

“state information” means information generated, acquired or received by organs of state or in the possession or control of organs of state; 20

“state security matter” includes any matter, which has been classified in terms of this Act and which is dealt with by the Agency or which relates to the functions of the Agency or to the relationship existing between any person and the Agency;

“technical surveillance countermeasures” means the process involved in the detection, localisation, identification and neutralisation of technical surveillance of an individual, an institution, facility or vehicle; 25

“this Act” includes the regulations made in terms of section 51;

“top secret information” has the meaning assigned to it in section 11(3);

“valuable information” means information contemplated in this Act whose unlawful alteration, destruction or loss is likely to infringe on the constitutional rights of the public or individuals or deny them of a service or benefit to which they are entitled. 30

(2) This Act must be interpreted to give effect to its objects and to develop the information principles set out in Chapter 2.

(3) When considering an apparent conflict between this legislation and other information-related legislation, every court must prefer any reasonable interpretation of the legislation that avoids a conflict over any alternative interpretation that results in a conflict taking into consideration the need to protect and classify certain state information in terms of this Act. 35

(4) For the purposes of this Act, a person has knowledge of a fact if— 40

(a) the person has actual knowledge of that fact; or

(b) the court is satisfied that—

(i) the person believes that there is a reasonable possibility of the existence of that fact; and

(ii) he or she fails to obtain information to confirm the existence of that fact. 45

(5) For the purposes of this Act, a person ought reasonably to have known or suspected a fact if the conclusions that he or she ought to have reached, are those which would have been reached by a reasonably diligent and vigilant person having both—

(a) the general knowledge, skill, training and experience that may reasonably be expected of a person in his or her position; and 50

(b) the general knowledge, skill, training and experience that he or she in fact has.

Objects of Act

2. The objects of this Act are to—

(a) regulate the manner in which state information may be protected;

(b) promote transparency and accountability in governance while recognising that state information may be protected from disclosure in order to safeguard the national security of the Republic; 55

(c) establish general principles in terms of which state information may be made available or accessible or protected in a constitutional democracy;

(d) provide for a thorough and methodical approach to the determination of which state information may be protected; 60

- (e) provide a regulatory framework in terms of which protected state information is safeguarded in terms of this Act;
- (f) describe the nature and categories of state information that may be protected from alteration, destruction, loss or unlawful disclosure;
- (g) regulate the conditions for classification and the declassification of classified information; 5
- (h) create a system for the review of the status of classified information by way of regular reviews and requests for access to classified information and status review;
- (i) regulate the accessibility of declassified information to the public; 10
- (j) establish a Classification Review Panel to review and oversee status review, classification and declassification procedures;
- (k) criminalise espionage and activities hostile to the Republic and provide for certain other offences and penalties; and
- (l) repeal the Protection of Information Act, 1982 (Act No. 84 of 1982). 15

Application of Act

- 3. (1) The classification, reclassification and declassification provisions of this Act—
 - (a) apply to the cabinet, the security services of the Republic and the oversight bodies referred in Chapter 11 of the Constitution; and
 - (b) may be made applicable by the Minister, on good cause shown, by publication in the *Gazette*, after approval by Parliament, to any organ of state or part thereof that applies in the prescribed manner, excluding all municipalities and all municipal entities, to have those provisions apply to it. 20
- (2) In determining what constitutes ‘good cause’ in subsection (1)(b) regard may be had to any one or more of the following factors: 25
 - (a) The nature of the information handled by the organ of state, including its implications for national security, its impact on international relations and related confidences;
 - (b) the need for the protection of South African innovations and the desirability of the continued availability of information to members of the public; 30
 - (c) the competence and ability of the officials on the organ of state to classify, reclassify and declassify the information in accordance with the Act; and
 - (d) whether the existing dispensation cannot protect the information.
- (3) The provisions of this Act with regard to the protection of valuable information against unlawful alteration, destruction or loss apply to all organs of state. 35

CHAPTER 2

GENERAL PRINCIPLES OF STATE INFORMATION

General principles of state information

- 4. (1) The following principles underpin this Act and inform its implementation and interpretation: 40
 - (a) Unless restricted by law that clearly sets out reasonable and objectively justified public or private considerations, state information should be available and accessible to all persons;
 - (b) state information that is accessible to all is the basis of a transparent, open and democratic society; 45
 - (c) access to state information is a basic human right and promotes human dignity, freedom and the achievement of equality;
 - (d) the free flow of state information promotes openness, responsiveness, informed debate, accountability and good governance;
 - (e) the free flow of state information can promote safety and security; 50
 - (f) accessible state information builds knowledge and understanding and promotes creativity, education, research, the exchange of ideas and economic growth;
 - (g) the protection and classification of certain state information is however vital to save lives, to enhance and to protect the freedom and security of persons, bring criminals to justice, protect the national security and to engage in effective government and diplomacy; 55

- (h) measures to protect state information should not infringe unduly on personal rights and liberties or make the rights and liberties of citizens unduly dependent on administrative decisions;
 - (i) measures taken in terms of this Act must—
 - (i) have regard to the freedom of expression, the right of access to information and the other rights and freedoms enshrined in the Bill of Rights; 5
 - (ii) promote and support the functions and effectiveness of the Constitutional Institutions Supporting Democracy; and
 - (iii) be consistent with article 19 of the International Covenant on Civil and Political Rights and have regard to South Africa's international obligations; and 10
 - (j) in balancing the legitimate interests referred to in paragraphs (a) to (i) the relevant Minister, relevant official or a court must have due regard to the security of the Republic, in that the national security of the Republic may not be compromised. 15
- (2) Certain state information may, in terms of this Act, be protected against unlawful disclosure, alteration, destruction or loss.
- (3) State information in material or documented form which requires protection against unlawful disclosure may be protected by way of classification and access to such information may be restricted to the Cabinet, institutions referred to in section 181 of the Constitution and certain individuals who carry a commensurate security clearance. 20

CHAPTER 3

POLICIES AND PROCEDURES

Policies and procedures 25

5. (1) The head of an organ of state, where applicable, must establish—
- (a) policies, directives and categories for classifying, downgrading and declassifying state information;
 - (b) in terms of section 51(1)(m), conditions for the treatment and protection of classified information that is accessed by the institutions mentioned in section 181 of the Constitution; and 30
 - (c) conditions for the protection against alteration, destruction or loss of state information created, acquired or received by that organ of state.
- (2) Each organ of state must, where applicable, establish policies, directives and categories in terms of subsection (1) within six months of the date on which the regulations contemplated under section 51(4) are promulgated. 35
- (3) Policies and directives must not be inconsistent with the national information security standards prescribed in terms of section 51(4) and the Constitution.

CHAPTER 4

STATE INFORMATION WHICH REQUIRES PROTECTION AGAINST ALTERATION, DESTRUCTION OR LOSS 40

Process of determining state information as valuable

6. (1) State information must be determined as valuable when that information is identified in terms of a prescribed procedure or policy as information that should be protected against alteration, destruction or loss. 45
- (2) When state information is categorised as valuable, all individual items of information that fall within a valuable category are automatically deemed to be valuable.

Protection of valuable information

7. (1) Valuable information warrants a degree of protection and administrative control and must be handled with due care and only in accordance with authorised procedures. 50
- (2) Valuable information need not be specifically marked, but holders of such information must be made aware of the need for controls and protections as prescribed.

(3) The destruction of public records is subject to the National Archives and Records Service of South Africa Act, 1996 (Act No.43 of 1996).

CHAPTER 5

SYSTEM OF CLASSIFICATION, RECLASSIFICATION AND DECLASSIFICATION OF STATE INFORMATION

5

Conditions for classification, reclassification and declassification

8. (1) The decision to classify information must be based solely on the conditions set out in this Act.

(2) (a) Classification of state information is justifiable only when it is necessary to protect national security. 10

(b) Classification of state information may not under any circumstances be used to—

(i) conceal breaches of the Prevention and Combating of Corrupt Activities Act, 2004 (Act No. 12 of 2004) or any other an unlawful act or omission, incompetence, inefficiency or administrative error; 15

(ii) restrict access to state information in order to limit scrutiny and thereby avoid criticism; 15

(iii) prevent embarrassment to a person, organisation, or organ of state or agency;

(iv) unlawfully restrain or lessen competition; or

(v) prevent, delay or obstruct the release of state information that does not require protection under this Act. 20

(c) The classification of state information is an exceptional measure and should be conducted strictly in accordance with section 11.

(d) State information is classified only when there is—

(i) a clear, justifiable and legitimate need to do so; and

(ii) a demonstrable need to protect the state information in the interest of the national security. 25

(e) If there is significant doubt as to whether state information requires protection, the matter must be referred to the relevant Minister for a decision.

(f) The decision to classify may not be based on any extraneous or irrelevant reason.

(g) Classification decisions must balance the right to access to state information against the need to classify state information in terms of this Act. 30

(h) Scientific and research information not clearly related to the national security may not be classified.

(i) State information may not be reclassified after it has been declassified and released to the public under proper authority. 35

(j) Classification must be in place only for as long as the protection is actually necessary.

(k) Where there is still a need for classification it may be that the state information in question no longer requires a high classification level and should be downgraded.

(3) Specific considerations with regard to the decision whether to classify state information must include whether the disclosure may— 40

(a) expose the identity of a confidential source, or reveal information about the application of an intelligence or police source when the unlawful disclosure of that source would clearly and demonstrably damage the national security of the Republic or the interests of the source or his or her family; 45

(b) clearly and demonstrably impair the ability of government to protect officials or persons for whom protection services, in the interest of the national security, are authorised;

(c) seriously and substantially impair the national security, defence or intelligence systems, plans or activities; 50

(d) seriously and demonstrably impair relations between South Africa and a foreign government, or seriously and demonstrably undermine ongoing diplomatic activities of the Republic;

(e) violate a statute, treaty, or international agreement, including an agreement between South African government and another government or international institution; 55

(f) cause life threatening or other physical harm to a person or persons; or

(g) cause demonstrable, irreparable or exceptionally grave harm to the national security of the Republic.

(4) The application of the classification conditions may not in any way inhibit or prevent officials from informing authorised officials of such information in order to fulfil law enforcement or intelligence functions authorised or prescribed by law.

(5) When the conditions for classification contemplated in this section no longer exist classified information must be declassified. 5

Nature of classified information

9. Classified information—

- (a) is sensitive state information which is in material or record form;
- (b) must be protected from unlawful disclosure and against alteration, destruction or loss as prescribed; 10
- (c) must be safeguarded according to the degree of harm that could result from its unlawful disclosure;
- (d) may be made accessible only to those holding an appropriate security clearance and who have a legitimate need to access the state information in order to fulfil their official duties or contractual responsibilities; and 15
- (e) must be classified in terms of section 11.

Method of classifying state information

10. (1) State information is classified by the relevant classification authority in terms of section 8 when—

- (a) a classification authority has identified state information in terms of this Act as state information that warrants classification; 20
- (b) the items or categories of state information classified are marked or indicated with an appropriate classification; and
- (c) the classified information has been entered into a register of classified information. 25

(2) The classification of state information is determined through a consideration of the conditions contained in section 8.

Classification levels

11. (1) State information may be classified as confidential if the information is sensitive information, the disclosure of which is likely or could reasonably be expected to cause demonstrable harm to the national security of the Republic. 30

(2) State information may be classified as secret if the information is sensitive information, the disclosure of which is likely or could reasonably be expected to cause serious demonstrable harm to the national security of the Republic.

(3) State information may be classified as top secret if the information is sensitive information, the disclosure of which is likely or could reasonably be expected to demonstrably cause irreparable or exceptionally grave harm to the national security of the Republic. 35

Authority to classify state information

12. (1) Subject to section 3, any head of an organ of state may classify or reclassify state information using the classification levels set out in section 11 or other provisions of this Act. 40

(2) A head of an organ of state may delegate, in writing, the authority to classify state information to a staff member at a sufficiently senior level.

(3) Only designated staff members may be given the authority to classify state information as secret or top secret. 45

(4) Classification decisions must be taken at a sufficiently senior level to ensure that only that state information which genuinely requires protection is classified.

(5) When state information is categorised as classified, all individual items of information that fall within a classified category are deemed to be classified. 50

(6) Where a person is a member of the Security Services as contemplated in chapter 11 of the Constitution, excluding ordinary members of the South African Police Service and the South African National Defence Force, except those, who by the nature of his or her work deals with state information that may fall within the ambit of this Act, that

person must classify such information in accordance with the classification levels set out in section 11.

(7) The member of the Security Services authorised in terms of subsection (6) must submit the classified state information to the head of an organ of state in question for confirmation of the classification.

(8) The state information classified in terms of subsection (6) must remain classified until the head of an organ of state in question decides otherwise.

(9) The head of an organ of state retains accountability for any decision taken in terms of a delegated authority contemplated in subsection (2).

Report and return of classified records

13. A person who is in possession of a classified record knowing that such record has been unlawfully communicated, delivered or made available other than in the manner and for the purposes contemplated in this Act, except where such possession is for any purpose and in any manner authorised by law, must report such possession and return such record to a member of the South African Police Service, the Agency or the relevant classifying organ of state to be dealt with in the prescribed manner.

Authority to declassify classified information

14. (1) The organ of state that classified information is responsible for its declassification and downgrading.

(2) The head of an organ of state is the declassification authority, but he or she may delegate the authority to declassify and downgrade, in writing, to a staff member at a sufficiently senior level within the organ of state.

(3) The head of an organ of state retains accountability for any decision taken in terms of such delegated authority.

(4) Subject to subsection (5), the Agency is responsible for the handling of classified records and the declassification of such records of a defunct organ of state or agency that has no successor in function.

(5) The Agency must consult with organs of state or agencies having primary subject matter interest before making final declassification determinations.

Maximum protection periods

15. In accordance with section 11(2) of the National Archives of South Africa Act, 1996 (Act No. 43 of 1996), information may not remain classified for longer than a 20 year period unless the head of the organ of state that classified the state information, certifies to the satisfaction of the Classification Review Panel that the conditions for classification set out in sections 11 and 8 still apply.

CHAPTER 6

REGULAR REVIEWS, REQUEST FOR ACCESS TO CLASSIFIED INFORMATION AND STATUS REVIEW

Regular reviews of classified information

16. (1) The head of an organ of state—

(a) must at least every 10 years review the classified status of all classified information held by that organ of state; or

(b) may review the classified status of classified information at any time but must do so at least once every 10 years.

(2) When conducting a review, the head of an organ of state must apply the conditions for the classification and declassification of state information set out in sections 11 and 8.

(3) The status of classified information must be reviewed when there is a need or a proposal to use that classified information in a public forum such as in a court or tribunal proceedings.

(4) The first 10 year period referred to in subsection (1) commences on the effective date of this Act.

(5) (a) The head of an organ of state must annually and in the prescribed manner prepare a report on the regular reviews conducted under this section by that organ of state and submit such report to the Classification Review Panel for certification.

(b) The Classification Review Panel must table the report within 30 days of receipt thereof in Parliament if Parliament is in session, or if Parliament is not in session within 14 days after the commencement of the next parliamentary session. 5

(c) The head of the organ of state must publish the annual report.

Request for access to classified information and status review

17. (1) If a request is made for access to information and it is established that the information requested is classified, that request must be referred to the relevant head of the organ of state for a review of the classification status of the state information requested in terms of the provisions of this Act. 10

(2) (a) The head of the organ of state concerned must declassify the classified information in accordance with section 8 and grant the request for state information if that state information reveals evidence of— 15

(i) any contravention of, or failure to comply with the law; or

(ii) an imminent and serious public safety or environmental risk; and

(b) the public interest in the disclosure of the state information clearly outweighs the harm that will arise from the disclosure.

(3) The head of the organ of state must— 20

(a) within 14 days of receipt of the request contemplated in subsection (2)(a)(ii) grant the request for the declassification of classified information; or

(b) within 30 days, of receipt of the request contemplated in subsection (2)(a)(i) grant the request for the declassification of classified information.

(4) A court may condone non-observance of the time-period referred to in subsection (3)(a) on good cause shown where an urgent application is brought before court. 25

(5) In conducting such a review the head of an organ of state must take into account the conditions for classification and declassification as set out in this Chapter.

CHAPTER 7

CLASSIFICATION REVIEW PANEL 30

Establishment of Classification Review Panel

18. (1) There is hereby established a Panel to be known as the Classification Review Panel.

(2) All organs of state must provide the Classification Review Panel such assistance as may be reasonably required for the effectiveness of the Classification Review Panel in the performance of its functions. 35

(3) (a) No organ of state or employee of an organ of state may interfere with, hinder or obstruct the Classification Review Panel or any member thereof or a person appointed under section 28 in the performance of its, his or her functions; and

(b) No access to classified information may be withheld from the Classification Review Panel on any ground. 40

Functions of Classification Review Panel

19. (1) The Classification Review Panel must—

(a) review and oversee status reviews, classifications and declassifications contemplated in this Act; 45

(b) receive all reports of 10 year reviews on the status of all classified information conducted by the organs of state; and

(c) receive, once a year, all reviews on the status of classified information conducted by the organs of state during the course of a financial year.

(2) The Classification Review Panel may, with the concurrence of the Minister, make rules not in conflict with this Act for matters relating to the proper performance of the functions of the Classification Review Panel, including— 50

(a) time periods within which reports by the heads of organs of state must be submitted;

(b) state information to be supplied when a report is submitted; 55

- (c) procedures regarding the deliberations and the conduct of work of the Panel;
and
- (d) random sampling methods to be employed in reviewing compliance under this Chapter.

Constitution and appointment of Classification Review Panel

5

20. (1) Due regard having been given to—
- (a) participation by the public in the nomination process;
 - (b) transparency and openness; and
 - (c) the publication of a shortlist of candidates for appointment.
- (2) The Joint Standing Committee on Intelligence must table a list of five persons for approval by Parliament. 10
- (3) Parliament must by a resolution with a support of a majority vote of its members upon approval submit the list of five persons to the Minister for appointment.
- (4) The Classification Review Panel is headed by a chairperson who must either be an admitted attorney or advocate with at least ten years legal experience. 15
- (5) The other four members of the Classification Review Panel must be suitably qualified of whom—
- (a) at least one member must have expertise in the Constitution and the law;
 - (b) at least one member must have knowledge and experience of national security matters; and 20
 - (c) at least one member must have knowledge and experience of archive related matters.
- (6) The members of the Classification Review Panel are appointed for a term of five years which term is renewable for one additional term only.
- (7) A person may not be appointed as a member of the Classification Review Panel unless that person has a valid security clearance certificate issued under the National Strategic Intelligence Act, 1994 (Act No. 39 of 1994). 25

Disqualification from membership

21. A person may not be appointed as a member of the Classification Review Panel if he or she— 30
- (a) is not a citizen of the Republic;
 - (b) is not resident in the Republic;
 - (c) is appointed by, or is in the service of, the state and receives remuneration for that appointment or service;
 - (d) is a member of Parliament, any provincial legislature or any municipal council; 35
 - (e) is an office-bearer or employee of any political party, movement or organisation of a party-political nature;
 - (f) is an unrehabilitated insolvent;
 - (g) has been declared to be of unsound mind by a court of the Republic; 40
 - (h) has been convicted of an offence in the Republic, other than an offence committed prior to 10 May 1994 associated with political objectives, and was sentenced to imprisonment without an option of a fine; or
 - (i) has been removed from an office of trust on account of misconduct involving theft, corruption, maladministration or fraud. 45

Removal from office

22. (1) A member of the Classification Review Panel may be removed from the Panel on—
- (a) the grounds of misconduct, incapacity or incompetence;
 - (b) a finding to that effect by the Joint Standing Committee on Intelligence; and 50
 - (c) the adoption by Parliament of a resolution calling for that member's removal as member from the Classification Review Panel.
- (2) A resolution of Parliament concerning the removal of a member from the Classification Review Panel must be adopted with a supporting vote of a majority of the members of the National Assembly and the National Council of Provinces. 55
- (3) The Minister—

- (a) may suspend a member from the Classification Review Panel at any time after the start of the proceedings of the Joint Standing Committee of Intelligence for the removal of that person; and
- (b) must remove a person from office upon adoption by Parliament of the resolution calling for that person's removal. 5
- (4) A member ceases to be a member of the Classification Review Panel if that member—
 - (a) resigns;
 - (b) fails to attend three consecutive meetings of the Classification Review Panel, unless his or her apology has been accepted; or 10
 - (c) becomes disqualified in terms of section 21.
- (5) A vacancy in the Classification Review Panel must be filled as soon as practicable in accordance with section 20.

Remuneration of members and staff

23. Members of the Classification Review Panel and staff of the Classification Review Panel must be paid such remuneration and allowances as determined by the Minister with the concurrence of the Minister of Finance. 15

Meetings of Classification Review Panel

- 24. (1) The Classification Review Panel meets as often as the circumstances require, but must meet at least once a month, at such times and places as the chairperson may determine. 20
- (2) The Classification Review Panel may determine its own procedure for its meetings.
- (3) The quorum for any meeting of the Classification Review Panel is three members.
- (4) Any decision taken by the Classification Review Panel is not invalid merely by reason of a vacancy on the Panel provided that the required quorum is present at that meeting. 25

Decisions of Classification Review Panel

- 25. (1) The Classification Review Panel may confirm, vary or set aside any classification decision taken by the head of an organ of state and instruct the head of the organ of state concerned to change the classification status of the classified information, if necessary. 30
- (2) The Classification Review Panel must before reaching a final decision afford the head of an organ of state an opportunity to respond in connection therewith, in any manner that may be expedient under the circumstances. 35
- (3) A decision of the Classification Review Panel binds an organ of state subject to any appeal that the organ of state may lodge with a competent High Court.

Appointment of staff

- 26. (1) The Chairperson of the Classification Review Panel must appoint staff to assist the Panel in carrying out its functions. 40
- (2) A person may not be appointed under subsection (1) unless that person has a valid security clearance certificate issued under the National Strategic Intelligence Act, 1994 (Act No. 34 of 1994).

Accountability of Classification Review Panel

27. The Classification Review Panel is accountable to Parliament, and must report on its activities and the performance of its functions at least once a year. 45

Reporting

- 28. (1) The Classification Review Panel must, in respect of each financial year, prepare an annual report on the activities of the Classification Review Panel undertaken during the financial year. 50

(2) The Classification Review Panel must table the report contemplated in subsection (1) in Parliament within 30 days of receipt thereof if Parliament is in session, or if Parliament is not in session within 14 days after the commencement of the next parliamentary session.

(3) The head of an organ of state must in respect of the declassified information in possession of that organ of state prepare a report for submission to the Classification Review Panel by 31 December of each year. 5

(4) The Classification Review Panel must on receipt of the reports contemplated in subsection (3) prepare a report on all the declassified information for the financial year in question and must include the report in its annual report referred to in subsection (1). 10

(5) The Classification Review Panel must, furnish any other report upon request by the Joint Standing Committee on Intelligence.

(6) The Chairperson of the Classification Review Panel must publish the annual report of the Classification Review Panel.

CHAPTER 8

15

APPEALS

Appeal procedure

29. (1) Any person who is refused access to state information in terms of this Act may appeal to the relevant Minister of the organ of state in question.

(2) Any appeal referred to in subsection (1) must be lodged within 30 days of receipt of the decision and the reasons therefor. 20

(3) Upon receipt of an appeal, the relevant Minister of the classifying organ of state must make a finding and in the case of refusal provide reasons within 30 working days of the date of receipt of such request.

Application to Court

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30. (1) A person who is aggrieved by a decision made with regard to a request for access to classified information may apply to a court for appropriate relief after the requester has exhausted the internal appeal procedure against a decision of the relevant Minister of the organ of state in question.

(2) Notwithstanding subsection (1), a requester may apply directly to a court for urgent relief contemplated in section 17(3), without having exhausted the internal appeal procedure contemplated in section 29 of this Act. 30

CHAPTER 9

TRANSFER OF RECORDS TO NATIONAL ARCHIVES AND RELEASE OF DECLASSIFIED INFORMATION TO PUBLIC

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Transfer of public records to National Archives

31. (1) The head of an organ of state must review the classification of state information before it is transferred to the National Archives or other archives established by law.

(2) Subject to section 15, at the date on which this Act takes effect, public records, including records marked classified that are transferred to the National Archives or other archives must be declassified in accordance with section 8. 40

(3) The head of an organ of state that holds classified records that originated in another organ of state must—

(a) notify the originating organ of state before transferring classified records to the National Archives or other archives; and 45

(b) abide by the reasonable directions of the originating organ of state.

(4) Classified records held by the National Archives or other archives at the commencement of this Act, which have been classified for less than 20 years, are subject to the provisions of this Act. 50

(5) An organ of state, which transferred classified information to the National Archives or other archives before the commencement of this Act, retains its responsibilities in terms of this Act.

Release of declassified information to public

32. (1) Classified information that is declassified may be made available to the public in accordance with this Act, the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000), or any other law.

(2) Unless ordered by a court, no classified information may be made available to the public until such state information has been declassified. 5

(3) When an organ of state receives a request for records in its possession that contain state information that was originally classified by another organ of state, it must refer the request and the pertinent records to that other organ of state for processing, and may, after consultation with the other organ of state, inform the requester of the referral. 10

CHAPTER 10

IMPLEMENTATION AND MONITORING

Responsibilities of Agency

33. The Agency is responsible for monitoring—

- (a) all organs of state for compliance with prescribed controls and measures to protect valuable information; and 15
- (b) all organs of state referred to in section 3, excluding the South African Police Service and the South African National Defence Force for compliance with the prescribed controls and measures to protect classified information.

CHAPTER 11

20

OFFENCES AND PENALTIES

Espionage and related offences

34. (1) It is an offence punishable on conviction by imprisonment for a period not less than 15 years but not exceeding 25 years—

- (a) to unlawfully and intentionally communicate, deliver or make available state information classified top secret which the person knows or ought reasonably to have known would directly or indirectly benefit a foreign state; or 25
- (b) to unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified top secret which the person knows or ought reasonably to have known would directly or indirectly benefit a foreign state. 30

(2) It is an offence punishable on conviction by imprisonment for a period not less than 10 years but not exceeding 15 years—

- (a) to unlawfully and intentionally communicate, deliver or make available state information classified secret which the person knows or ought reasonably to have known would directly or indirectly benefit a foreign state; or 35
- (b) to unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified secret which the person knows or ought reasonably to have known would directly benefit a foreign state.

(3) It is an offence punishable on conviction by imprisonment for a period not less than three years but not exceeding five years— 40

- (a) to unlawfully and intentionally communicate, deliver or make available state information classified confidential which the person knows or ought reasonably to have known would directly or indirectly benefit a foreign state; or 45
- (b) to unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified confidential which the person knows or ought reasonably to have known would directly or indirectly benefit a foreign state.

(4) If a court is satisfied that substantial and compelling circumstances exist which justify the imposition of a lesser sentence than the sentence prescribed in this section, it shall enter those circumstances on the record of the proceedings and must thereupon impose such lesser sentence. 50

Receiving state information unlawfully

35. (1) It is an offence punishable on conviction by imprisonment for a period not exceeding 25 years to unlawfully and intentionally receive state information classified top secret which the person knows would directly or indirectly benefit a foreign state to the detriment of the national security of the Republic. 5
- (2) It is an offence punishable on conviction by imprisonment for a period not exceeding 15 years to unlawfully and intentionally receive state information classified secret which the person knows would directly or indirectly benefit a foreign state to the detriment of the national security of the Republic.
- (3) It is an offence punishable on conviction by imprisonment for a period not exceeding five years to unlawfully and intentionally receive state information classified confidential which the person knows would directly or indirectly benefit a foreign state to the detriment of the national security of the Republic. . 10

Hostile activity offences

36. (1) It is an offence punishable on conviction by imprisonment for a period not exceeding 20 years for any person to— 15
- (a) unlawfully and intentionally communicate, deliver or make available state information classified top secret which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity that would prejudice the national security of the Republic; or 20
 - (b) unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified top secret which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity that would prejudice the national security of the Republic.
- (2) It is an offence punishable on conviction by imprisonment for a period not exceeding 15 years for any person to— 25
- (a) unlawfully and intentionally communicate, deliver or make available state information classified secret which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity or prejudice the national security of the Republic; or 30
 - (b) unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified secret which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity or prejudice the national security of the Republic .
- (3) It is an offence punishable on conviction by imprisonment for a period not exceeding five years for any person to— 35
- (a) unlawfully and intentionally communicate, deliver or make available state information classified confidential which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity or prejudice the national security of the Republic; or 40
 - (b) unlawfully and intentionally make, obtain, collect, capture or copy a record containing state information classified confidential which the person knows would directly or indirectly benefit a non state actor engaged in hostile activity or prejudice the national security of the Republic.

Harbouring or concealing persons 45

37. Any person who harbours or conceals a person whom he or she knows, or has reasonable grounds to believe or suspect, has committed, or is about to commit, an offence contemplated in section 34 or 36 , is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 10 years.

Interception of or interference with classified information 50

38. (1) Subject to the Regulation of Interception of Communications and Provision of Communication-Related Information Act, 2002 (Act No. 70 of 2002), a person who intentionally accesses or intercepts any classified information without authority or permission to do so, is guilty of an offence and liable to imprisonment for a period not exceeding 10 years. 55

(2) Any person who intentionally and without authority to do so, interferes with classified information in a way which causes such information to be modified, destroyed or otherwise rendered ineffective, is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 10 years.

(3) Any person who unlawfully and intentionally produces, sells, offers to sell, 5
procures for use, designs, adapts for use, distributes or possesses any device, including a computer program or a component, which is specifically designed to overcome security measures for the protection of state information, for the purposes of contravening this section, is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 10 years. 10

(4) Any person who intentionally utilises any device or computer program mentioned in subsection (3) in order to unlawfully overcome security measures designed to protect state information, is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 10 years.

(5) Any person who contravenes any provision of this section with the intent to 15
interfere with access to an information system so as to constitute a denial, including a partial denial, of service to legitimate users commits an offence and is liable on conviction to imprisonment for a period not exceeding 10 years.

(6) (a) Without derogating from the generality of subsection (6)(b)—
“access to a computer” includes access by whatever means to any program or data 20
contained in the random access memory of a computer or stored by any computer on any storage medium, whether such storage medium is physically attached to the computer or not, where such storage medium belongs to or is under control of the State;

“content of any computer” includes the physical components of any computer as well 25
as any programme or data contained in the random access memory of a computer or stored by any computer on any storage medium, whether such storage medium is physically attached to the computer or not, where such storage medium belongs to or is under the control of the State;

“modification” includes both a modification of a temporary or permanent nature; and
“unauthorised access” includes access by a person who is authorised to use the 30
computer but is not authorised to gain access to a certain programme or to certain data held in such computer or is not authorised, at the time when the access is gained, to gain access to such computer, programme or data.

(b) Any person who wilfully gains unauthorised access to any computer which 35
belongs to or is under the control of the State or to any programme or data held in such a computer, or in a computer to which only certain or all employees have restricted or unrestricted access in their capacity as employees of the State, is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding two years.

(c) Any person who wilfully causes a computer which belongs to or is under the 40
control of the State or to which only certain or all employees have restricted or unrestricted access in their capacity as employees to perform a function while such person is not authorised to cause such computer to perform such function, is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding two years.

(d) Any person who wilfully performs an act which causes an unauthorised 45
modification of the contents of any computer which belongs to or is under the control of the State or to which only certain or all employees have restricted or unrestricted access in their capacity as employees of the State with the intention to—

(i) impair the operation of any computer or of any programme in any computer or 50
of the operating system of any computer the reliability of data held in such computer; or

(ii) prevent or hinder access to any programme or data held in any computer, 55
is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding five years.

(e) Any act or event for which proof is required for a conviction of an offence in terms 60
of this subsection which was committed or took place outside the Republic is deemed to have been committed or have taken place in the Republic: Provided that—

(i) the accused was in the Republic at the time he or she performed the act or any 65
part thereof by means of which he or she gained or attempted to gain unauthorised access to the computer, caused the computer to perform a function or modified or attempted to modify its content;

(ii) the computer, by means of or with regard to which the offence was committed, 70
was in the Republic at the time the accused performed the act or any part thereof

- by means of which he or she gained or attempted to gain unauthorised access to it, caused it to perform a function or modified or attempted to modify its contents;
- (iii) the accused was a South African citizen at the time of the commission of the offence; or
 - (iv) the offence was committed against a government facility of the Republic abroad, including an embassy or other diplomatic or consular premises or any other property of the Republic.

Registration of intelligence agents and related offences

39. (1) Any person who is in the Republic and who is—
- (a) employed or operating as an agent for a foreign intelligence or security service; or
 - (b) not employed or operating as an agent for a foreign intelligence or security service but is in the Republic with the expectation or potential of activation or re-activation as an agent of such an intelligence or security service, must register with the Agency.
- (2) Any person who fails to register as an intelligence or security agent in accordance with this section is guilty of an offence and liable on conviction to imprisonment for a period not exceeding five years.

Attempt, conspiracy and inducing another person to commit offence

40. Any person who attempts, conspires with any other person, or aids, abets, induces, instigates, instructs or commands, counsels or procures another person to commit an offence in terms of this Act, is guilty of an offence and liable on conviction to the punishment to which a person convicted of actually committing that offence would be liable.

Disclosure and possession of classified information

41. Any person who unlawfully and intentionally discloses or is in possession of classified state information in contravention of this Act is guilty of an offence and is liable to a fine or imprisonment for a period not exceeding five years, except where such disclosure or possession—
- (a) is protected or authorised under the Protected Disclosures Act, 2000 (Act No. 26 of 2000), the Companies Act, 2008 (Act No. 71 of 2008), the Prevention and Combating of Corrupt Activities Act, 2004 (Act No. 12 of 2004), the National Environmental Management Act, 1998 (Act No. 107 of 1998), or the Labour Relations Act, 1995 (Act No. 66 of 1995);
 - (b) is authorised in terms of this Act or any other Act of Parliament; or
 - (c) reveals criminal activity, including any criminal activity in terms of section 45 of this Act.

Failure to report possession of classified information

42. Any person who fails to comply with section 15 is guilty of an offence and liable to a fine or imprisonment for a period not exceeding five years.

Provision of false information to national intelligence structure

43. Any person who provides information to a national intelligence structure that is false or fabricated, knowing that it is false or has been fabricated is guilty of an offence and liable on conviction to a fine or imprisonment for a period not exceeding five years.

Destruction or alteration of valuable information

44. Any person who unlawfully and intentionally destroys, removes, alters or erases valuable information is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding two years.

Improper classification

45. (1) Any person who intentionally classifies state information as—
- (a) top secret;
 - (b) secret; or
 - (c) confidential,
- in order to achieve any purpose ulterior to this Act, including the classification of state information in order to—
- (i) conceal breaches of the Prevention and Combating of Corrupt Activities Act, 2004 (Act No. 12 of 2004), as well as any other unlawful act or omission, incompetence, inefficiency or administrative error;
 - (ii) promote or further an unlawful act, inefficiency, or administrative error;
 - (iii) prevent embarrassment to a person, organisation or the Agency; or
 - (iv) give undue advantage to anyone within a competitive bidding process.
- (2) (a) In the event of subsection (1)(a) is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 15 years;
- (b) in the event of subsection (1)(b) is guilty of an offence and liable on conviction to imprisonment for a period not exceeding 10 years; or
- (c) in the event of subsection (1)(c) is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding five years.

Failure by head of organ of state or official of organ of state to comply with Act

46. A head of an organ of state or an official of such organ of state who willfully or in a grossly negligent manner fails to comply with the provisions of this Act commits an offence and is liable on conviction to a fine, or to imprisonment for a period not exceeding two years.

Extra-territorial application of Act

47. Any act constituting an offence under this Act and which is committed outside the Republic by a citizen of the Republic or a person ordinarily resident in the Republic must be regarded as having been committed in the Republic.

Authority of National Director of Public Prosecutions required for institution of criminal proceedings

48. No prosecution or preparatory examination in respect of any offence under this Act which carries a penalty of imprisonment of five years or more may be instituted without the written authority of the National Director of Public Prosecutions.

CHAPTER 12**PROTECTION OF CLASSIFIED INFORMATION BEFORE COURTS****Protection of classified information before courts**

49. (1) In any proceedings where an official or a functionary of an organ of state intends to file a record that contains classified information, that official or functionary must alert court officials and the court of the classification of the information and request court officials to protect the record or parts of the record that contain classified information from disclosure or publication pending a court determination on the proper handling of such information during the course of the legal proceedings.
- (2) Classified information that is filed in the manner contemplated in subsection (1) may not be disclosed to persons not authorised to receive such information unless a court, in the interests of justice, and upon considering issues of national security, orders full or limited disclosure, with or without conditions.
- (3) Unless a court orders the disclosure of classified information or orders the limited or conditional disclosure of classified information, the court must issue directions for the proper protection of such information during the course of legal proceedings, which may include, but is not limited to—
- (a) the holding of proceedings, or part thereof, *in camera*;

- (b) the protection from disclosure or publication of those portions of the record containing the classified information; or
 - (c) the implementation of measures to confine disclosure to those specifically authorised to receive the classified information.
- (4) A court may not order the disclosure of classified information without taking reasonable steps to obtain the written or oral submissions of the classification authority that made the classifications in question or alternatively to obtain the submissions of the Director-General of the Agency. 5
- (5) If it appears to a court that it would, in any hearing held in terms of this section be in the interest of the national security or in the interest of justice that such hearing be held *in camera* or that the submission referred to in subsection (4) be not publicly disclosed, the court may direct that the hearing must be held *in camera* and that any person not authorised to receive such classified information may not be present at such hearing. 10
- (6) A court may, if it considers it appropriate, seek the written or oral submissions of interested parties, persons and organisations but may not disclose the actual classified information to such persons or parties prior to its order to disclose the classified information in terms of subsection (2). 15
- (7) A classification authority or the Director-General of the Agency, as the case may be, in consultation with the relevant Minister, must declassify classified information required in legal proceedings, either in whole or in part, unless it is strictly necessary to maintain the classification in terms of this Act. 20
- (8) In addition to the measures set out in this section, a court in criminal proceedings has the same powers as those conferred upon a court under section 154(1) and (4) of the Criminal Procedure Act, 1977 (Act No. 51 of 1977), and the said section applies with the necessary changes. 25
- (9) Any person who discloses or publishes any classified information in contravention of an order or direction issued by a court in terms of this section is guilty of an offence and liable on conviction to imprisonment for a period not exceeding five years.
- (10) A court which acts in terms of this section must endeavour to accommodate the principle of open justice to as great an extent as possible without risking or compromising the national security. 30

CHAPTER 13

GENERAL PROVISIONS

35

Reports

50. (1) Each head of an organ of state must, by no later than 31 December of each year, submit a report to his or her relevant Minister and forward a copy of such a report to the Minister and the Agency that describes the application of the protection of information policies and procedures, and in particular the application of the classification and declassification standards and procedures of that organ of state during the preceding year. 40
- (2) The Agency must by no later than 31 December of each year submit an annual report to the Classification Review Panel and the Minister on the execution of its responsibilities in terms of this Act. 45
- (3) The Agency must report annually to Parliament on the monitoring carried out in terms of this Act and on the status of the protection of information practices by all organs of states.
- (4) When the Agency tables its report to Parliament, the Agency must forward copies of the report to every head of an organ of state. 50
- (5) For the purpose of this section “**head of an organ of state**” includes a head of a Service defined in section 1 of the Intelligence Services Oversight Act, 1994 (Act No. 40 of 1994).

Regulations

51. (1) The Minister must make regulations consistent with this Act regarding— 55
- (a) the controls and measures required to effectively protect valuable, and classified information, including the appropriate physical security, informa-

- tion and communication technology security, technical surveillance counter-measures and contingency planning for the protection of state information;
- (b) the responsibilities of a head of an organ of state to ensure that valuable and classified information are adequately protected;
 - (c) training and guidance to be supplied to state employees in respect of their responsibilities to ensure that valuable and classified information are adequately protected; 5
 - (d) the organisation and administration of the security function at organs of state to ensure that state information is adequately protected, including the establishment of security committees and security policies within organs of state; 10
 - (e) procedure to be followed and manner in which valuable information must be protected from alteration, destruction or loss;
 - (f) the marking of classified documents;
 - (g) restrictions on how classified information may be transferred from one person to another and from one institution to another; 15
 - (h) measures to prevent the over-classification of state information, including training and guidance to be supplied to staff members on how to classify state information and how to prevent the over-classification of state information;
 - (i) the roles of any national intelligence structures with regard to the protection of classified information; 20
 - (j) the reporting of security breaches at any organ of state; and
 - (k) the procedure to be followed and manner in which a record that is reported and returned under section 15 to the South African Police Service or the Agency, as the case may be, must be dealt with; 25
 - (l) the procedure to be followed and the manner in which employees, officials, staff members or any other person who in any manner assists or has in the past assisted in carrying on or conducting the business of an organ of state may disclose breaches of the law or unlawful acts or omission, incompetence, inefficiency or administrative error; 30
 - (m) the procedure to be followed by the institutions referred to in section 181 of the Constitution to access classified information and conditions relating to the treatment and protection of such information in terms of section 7(1) for the fulfilment of their constitutional and legislative mandate, including investigating and reporting. 35
- (2) The Minister must make the regulations referred to in subsection (1) within a reasonable period from the date on which this Act takes effect.
- (3) The Minister, subject to the National Archives and Records Services of South Africa Act, 1996 (Act No. 43 of 1996), and after consultation with the Minister of Arts and Culture, may make regulations regarding the protection, transfer, destruction or alteration of valuable information and must publish the draft regulations for public comment. 40
- (4) The Minister must, within 12 months of the commencement of this Act make regulations consistent with this Act regarding—
- (a) broad categories and subcategories of state information that may be, classified, downgraded and declassified and protected against alteration, destruction or loss; 45
 - (b) categories and subcategories of state information that may not be protected in terms of this Act; and
 - (c) national information security standards and procedures for the categorisation, classification, downgrading and declassification of state information, which standards and procedures include but are not limited to— 50
 - (i) organisation and administration of classified information security matters at organs of state;
 - (ii) personnel security, including training, awareness and security screening; 55
 - (iii) information and communication technology security;
 - (iv) physical security for the protection of state information in consultation with the Minister of Police; and
 - (v) continuity planning.
- (5) Before the Minister makes regulations regarding any categories of state information in terms of subsection (4)(a), the Minister— 60

- (a) must by notice in the *Gazette* provide an opportunity for organs of state and other interested persons to submit comments in respect of the categorisation in question; and
 - (b) may take into account any comments received as a result of the notice contemplated in paragraph (a). 5
- (6) Subsection (5) applies to any modification made to the categories of state information in terms of subsection (4).
- (7) No regulation made under subsection (4), may impede or prevent the National Archives or any other archive from preserving and managing public records in terms of the National Archives and Records Service of South Africa Act, 1996 (Act No. 43 of 1996), or other applicable law or ordinance. 10
- (8) Any draft regulations made under this section must be tabled in Parliament for approval at least 30 days before the regulations are promulgated.
- (9) Any regulations made under subsection (1) may prescribe penalties of a fine or of imprisonment for a period not exceeding three years for any contravention thereof or failure to comply therewith. 15

Transitional provisions

52. (1) The provisions of this Act are suspended from operation pending the establishment of policies and procedures contemplated in Chapter 3 and the regulations contemplated in section 54, or for a reasonable period from the date on which this Act takes effect, except— 20
- (a) Chapter 3;
 - (b) section 13;
 - (c) section 32;
 - (d) section 17; 25
 - (e) Chapter 10;
 - (f) section 51;
 - (g) the definitions and principles which give effect to the sections referred to in paragraphs (a) to (f);
 - (h) Chapter 13; and 30
 - (i) subsection (3).
- (2) Subject to this Act any state information classified under the Protection of Information Act, 1982 (Act No. 42 of 1982), MISS Guidelines or any other law must remain classified notwithstanding the repeal of such law.
- (3) Subject to section 15— 35
- (a) Any state information classified under MISS Guidelines, the Protection of Information Act, 1982 (Act No. 42 of 1982), or any other law, must be reviewed and an audit report must be compiled by the head of the organ of state concerned on the classified status of all classified information held by that organ of state. 40
 - (b) The Agency must review and compile an audit report on the classified status of all classified information of a defunct organ of state or the Agency that has no successor in function.
 - (c) The relevant head of an organ of state or the Agency, as the case may be, must submit an audit report within a reasonable period to the Classification Review Panel. 45
- (4) In conducting a review in terms of subsection (3) the relevant head of the organ of state concerned or the Agency, as the case may be, must apply the conditions for classification and declassification in section 8 to—
- (a) confirm the classification of the classified information; 50
 - (b) declassify the classified information; or
 - (c) reclassify the classified information.
- (5) The head of the organ of state concerned or the Agency, as the case may be, must in accordance with section 31 transfer the declassified information contemplated in subsection (3) (b) to the relevant archive. 55

Repeal of law

53. (1) Subject to section 52, the Protection of Information Act, 1982 (Act No. 84 of 1982), is hereby repealed.

Short title and commencement

54. This Act is called the Protection of State Information Act 2011, and comes into operation on a date fixed by the President by proclamation in the *Gazette*.

MEMORANDUM ON THE OBJECTS OF THE PROTECTION OF STATE INFORMATION BILL

1. BACKGROUND

The Protection of State Information Bill (the Bill) will ensure a coherent approach to the protection of valuable information and the classification and declassification of state information. It will create a legislative framework for the state to respond to espionage and other associated hostile activities.

2. OBJECTS OF BILL

- 2.1 The Bill provides for classification and declassification of state information, methods of classifying state information and classification levels.
- 2.2 The Bill confers on the head of an organ of state or a member at a sufficiently senior level the authority to classify or declassify state information.
- 2.3 The Bill provides for a procedure for regular reviews and to request access to classified information and status review.
- 2.4 The Bill further establishes a Classification Review Panel to review and oversee status reviews, classification and declassification and to receive reports on the review of the status of classified information conducted by organs of state.
- 2.5 The Bill creates a legislative framework for the state to respond to espionage and hostile activities and to provide for certain other offences and penalties.
- 2.6 The Bill repeals the Protection of Information Act, 1982 (Act No. 84 of 1982).

3. STRUCTURE OF BILL

Chapter 1: Definitions, objects and application of Act

This chapter provides for definitions and interpretation and for the objects of the Act. This chapter further provides for the application of the Act to apply to all organs of state with regard to the protection of valuable information, alteration, destruction or loss. In respect of classification, reclassification and declassification this Act applies to the security services of the Republic and the oversight bodies referred to in Chapter 11 of the Constitution. The provisions of the Act may be made applicable by the Minister, on good cause shown, by publication in the *Gazette*, to any organ of state or part thereof, that applies in the prescribed manner.

Chapter 2: General principles of state information

This chapter outlines the principles which underpin the Act and which inform its implementation and interpretation.

Chapter 3: Policies and procedures

The head of an organ of state where applicable must establish policies and procedures.

Chapter 4: State information that requires protection against alteration, destruction or loss

This chapter sets out what information may be protected against alteration, destruction or loss (known as “valuable information”); the process of determining information as valuable; and how such information is to be protected.

Chapter 5: Classification and declassification of state information

This chapter provides for—

- Nature of classified information;
- Method of classifying state information;
- Classification levels;
- Authority to classify state information;
- Conditions for classification and declassification;
- Report and return of classified records;
- Authority to declassify classified information; and
- Maximum protection periods.

Chapter 6: Regular reviews, request for access to classified information and status review

The head of an organ of state must review the classified status of all classified information held by that organ of state and must do so at any time but at least once every ten years. This chapter further provides for a request for access to classified information. In specific circumstances where the state information reveals evidence of a substantial contravention of, or failure to comply with the law or an imminent and serious public safety or environmental risk and the public interest in the disclosure of the state information clearly outweighs the harm that will arise from the disclosure, the head of the organ of state concerned must declassify the classified information in accordance with this Act.

Chapter 7: Classification Review Panel

This Chapter provides for the establishment of a Classification Review Panel that must review and oversee status reviews, classifications and declassifications, receive reports and receive once a year reviews on the status of classified information conducted by the organs of state.

Chapter 8: Appeals

This Chapter provides for an internal appeal procedure and an application to court procedure. In circumstances contemplated in section 19 (3) a requester may apply directly to a court for urgent relief.

Chapter 9: Transfer of records to National Archives and release of declassified information to public

This chapter provides for the transfer of classified information to the National Archives or other archives established by law. It further provides for the release of declassified information to the public in accordance with the provisions of this Act, the Promotion of Access to Information Act or any other law.

Chapter 10: Implementation and monitoring

This chapter provides for the responsibilities of the Agency.

Chapter 11: Offences and penalties

This chapter provides for the following offences: Espionage offences; receiving state information unlawfully; hostile activity offences; harbouring or concealing persons; interception of or interference with classified information; registration of intelligence agents and related offences; attempt, conspiracy, and inducing another person to commit offence; disclosure of classified information; failure to report possession of classified information; provision of false information to national intelligence structure; destruction or alteration of valuable information; improper classification; failure by head of organ of state or official of organ of state to comply with Act; prohibition of disclosure of a state security matter. The penalties may

vary on the basis of the nature of the offence and the actual or potential harm caused.

Chapter 12: Protection of classified information before courts

This chapter outlines the process to be adopted by courts in the handling of classified documents that form part of court records. All classified documents shall remain protected by courts unless the courts direct otherwise.

Chapter 13: General provisions

This chapter deals with the submission of reports by organs of state and the Agency; the issuing of regulations by the Minister; and certain transitional provisions. The provisions of the Act are suspended pending the implementation of policies and procedures and the regulations, with the exception of several identified sections.

4. DEPARTMENTS OR BODIES CONSULTED

A draft Bill was distributed to the Department of Police, the Department of Justice and Constitutional Development and the Department of Defence and Military Veterans for comments.

5. FINANCIAL IMPLICATIONS FOR STATE

In light of the establishment of the Classification Review Panel there will be financial implications for the State.

6. PARLIAMENTARY PROCEDURE

- 6.1 The State Law Advisers and the Ministry of State Security are of the opinion that this Bill must be dealt with in accordance with the procedure established by section 75 of the Constitution since it contains no provision to which the procedure set out in section 74 or 76 of the Constitution applies.
- 6.2 The State Law Advisers are of the opinion that it is not necessary to refer this Bill to the National House of Traditional Leaders in terms of section 18(1)(a) of the Traditional Leadership and Governance Framework Act, 2003 (Act No. 41 of 2003), since it does not contain provisions pertaining to customary law or customs of traditional communities.